

Attorney Docket N<sup>o</sup>. CSURF-112A  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Douglas C. Hittle )  
Amy Sarah Lee )  
Examiner: [unknown]  
Serial N<sup>o</sup>.: 10/791,507 )  
Confirm N<sup>o</sup>.: 2758 ) Art Unit: 3635  
Filed: 01 March 2004 )  
For: Tile structures having phase change material (PCM) )  
component for use in flooring and ceilings )

**OIPE Filing Receipt Corrections**  
**FACSIMILE (703) 746-9195 total of 3 pages transmitted.**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Request for Filing Receipt Correction to Assignee identified thereon**

Transmitted herewith please find a copy of the following:


✓ 1<sup>st</sup> page of the FILING RECEIPT incorrectly identifying the assignee as **University of Colorado Research Foundation** (as you will see from the attached Assignment document, this is merely a typographical error)—please make correction noted on the FILING RECEIPT:

**Assignment For Published Patent Application**  
Colorado State University Research Foundation.

✓ In support of this correction, attached is the 1-page Assignment of Invention before Patent recorded with the U.S. Patent and Trademark Office correctly identifying the assignee, in two places, as **Colorado State University Research Foundation**.

NOTE: A request for correction of the NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT issued for the above-identified application is concurrently being filed with the ASSIGNMENT DIVISION to correct assignee listed, consistent with the Assignment recorded, to "**Colorado State University Research Foundation**." Both the statement as to the nonprofit entity status, domesticity, and the address listed in the NOTICE OF RECORDATION are correct.

Respectfully submitted,

  
Jean M. Macheledt  
Macheledt Bales & Heidmiller LLP  
Attorney for Applicants/Assignee  
Reg. N<sup>o</sup>. 33,956 / Tel. (970) 218-6798

Sent from FAX N<sup>o</sup> (970) 267-8893  
Date: **11 October 2004** (Monday)

I hereby certify under 37 CFR 1.8(a) that a signed original of this correspondence is being sent as authorized by under 37 CFR 1.6(d) via standard telephonic facsimile transmission to the number listed above and addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on **11 October 2004**.

Jean M Macheledt

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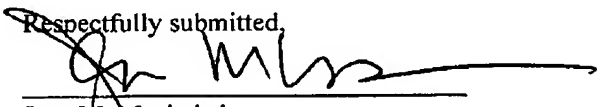
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## UNITED STATES PATENT AND TRADEMARK OFFICE

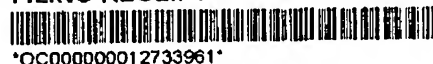
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/791,507	03/01/2004	3635	385	CSURF-112A	4	16	3

CONFIRMATION NO. 2758

28304  
JEAN M. MACHELEDT  
501 SKYSAIL LANE  
SUITE B100  
FORT COLLINS, CO 80525-3133

## FILING RECEIPT



\*OC000000012733961\*

Date Mailed: 05/21/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Douglas C. Hittle, Fort Collins, CO;  
Amy Sarah Lee, Loveland, CO;

**received**  
25 MAY 10 04  
MBH

## Assignment For Published Patent Application

~~University of Colorado~~ Research Foundation;

Colorado State University  
Domestic Priority data as claimed by applicant

This appln claims benefit of 60/450,838 02/28/2003

← Correction

## Foreign Applications

If Required, Foreign Filing License Granted: 05/20/2004

Projected Publication Date: 09/02/2004

Non-Publication Request: No

Early Publication Request: No

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Title

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MBH

MBJ Attorney Docket CSURF-112A  
CSURF Disclosure No. CSU-00-017

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INFORMATION

## Assignment of Invention Before Patent (herein "Assignment")

### Section 1 Background

We, Douglas C. Hittle, Ph.D. of Fort Collins, CO and Amy Sarah Lee of Loveland, CO ("Inventors"), have invented or discovered a new and useful process/technique, manufacture, and/or composition of matter, or an improvement thereof, the subject matter of which is included and covered in a provisional patent application prepared and filed by the Inventors on 28 February 2003 with the U.S. Patent Office entitled: *Solid State Phase Change Tiles for use in Passive Solar Applications*, and for which a nonprovisional application is currently being prepared for filing entitled: *Tile Structures having Phase Change Material Component for use in Flooring and Ceilings*. Colorado State University Research Foundation, a Colorado non-profit organization with a principal place of business at Colorado State University, P.O. Box 483, Fort Collins, Colorado 80522 (referred to as "CSURF") herein confirms its acquisition of the Inventors' entire right, title, and interest in the invention/discovery, and the Inventors are willing and able to sell, and herein confirm their sale, all of which is consistent with Foundation and University policies, including the Inventors' obligation to assign inventions/discoveries to CSURF, and any agreements under which the Inventors performed their rigorous analysis, research and development.

### Section 2 Assignment of all interest to CSURF

In consideration of *one dollar* and other good and valuable consideration, of which receipt is acknowledged by each, the Inventors hereby sell and assign to CSURF their entire right, title, and interest:

- (1) in said invention or discovery, and
- (2) in all United States and foreign applications filed therefor including but not limited to provisional, divisional, continuation, continuation-in-part, reissue, or reexamination applications, and
- (3) in any Letters Patent which issue in the United States or elsewhere including but not limited to: utility, utility model, or design patents; patents of implementation; improvement, or addition; inventor's certificates; and extensions of any of these, so that said right, title, and interest is held and enjoyed by CSURF, its successors and assigns, as fully and entirely as it would have been held and enjoyed by Inventors had this Assignment and sale not been made.

The Inventors hereby agree to fully execute all documents or papers necessary for obtaining Letters Patent in the United States and elsewhere, and continue to furnish all data, information, and documentation which may be required for the preparation, filing, and prosecution of applications for said Letters Patent.

Since this Assignment is intended for recording with the US Patent Office, the Commissioner for Patents is hereby directed to issue any patent which may hereafter be granted, to the Colorado State University Research Foundation (CSURF), in accordance with this duly executed Assignment.

**Intending to be legally bound, each inventor signs below to duly execute this Assignment of all interest to CSURF making it effective as to inventions/discoveries contemplated under this Agreement and made by the Inventors as of 01-Jan-2001.**

(By: X

Douglas C. Hittle  
Douglas C. Hittle, Ph.D., Inventor

(signature witnessed by

Date signed:

2/26/04

(By: X

Amy Sarah Lee  
Amy Sarah Lee, Inventor

(signature witnessed by:

Date signed:

2/27/04



❖ Facsimile RE-SENT

Attorney Docket N°. CSURF-112A

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Amy Sarah Lee

Serial N°. 10/791,507

Confirm N°. 2758

Filed: 01 March 2004

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) Examiner: [unknown]

) Art Unit: 3635

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Jean M Macheledt



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Non-Publication Request: No

Early Publication Request: No

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(signature witnessed by: Susan A. Hittle

(By: X

Amy Sarah Lee, Inventor

Date signed: 2/27/04

(signature witnessed by: Michael Hittle

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